

FORM PTO-1390
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

HLK-102-A

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/937756

INTERNATIONAL APPLICATION NO.
PCT/GB00/01248INTERNATIONAL FILING DATE
31 March 1999PRIORITY DATE CLAIMED
31 March 2000

TITLE OF INVENTION

Wide-Field-of-View Projection Display

APPLICANT(S) FOR DO/EO/US

Adrien Robert Leigh Travis and Nathan Stuart Marston

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Cover Sheet of the Published International Application WO 00/58932

U.S. APPLICATION NO. (known, see 37 CFR 1.53)

09/937756

INTERNATIONAL APPLICATION NO.

PCT/GB00/01248

ATTORNEY'S DOCKET NUMBER

HLK-102-A

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	22 - 20 =	2	x \$18.00	\$ 36.00
Independent claims	2 - 3 =	0	x \$80.00	\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$270.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1,026.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 513.00
SUBTOTAL =				\$ 513.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0
TOTAL NATIONAL FEE =				\$ 513.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0
TOTAL FEES ENCLOSED =				\$ 513.00
				Amount to be refunded: \$
				charged: \$

\$

\$ 36.00

\$ 0

\$ 0

TOTAL OF ABOVE CALCULATIONS =

\$ 1,026.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$ 513.00

SUBTOTAL =

\$ 513.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

\$ 0

TOTAL NATIONAL FEE =

\$ 513.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

\$ 0

TOTAL FEES ENCLOSED =

\$ 513.00

\$

Amount to be refunded: \$

charged: \$

a. ☒ A check in the amount of \$ 513.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 2520115A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Thomas N. Young
YOUNG & BASILE P.C.
3001 W. Big Beaver, Ste. 624
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SIGNATURE

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NAME

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REGISTRATION NUMBER

09/937756
410 Rec'd PCT/PTO 28 SEP 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
U.S. RECEIVING OFFICE PATENT COOPERATION TREATY

APPLICANT: Travis et al
INTERNATIONAL APPLN. NO.: PCT/GB00/01248
INTERNATIONAL FILING DATE: 31 March 1999
TITLE: WIDE-FIELD-OF-VIEW PROJECTION
DISPLAY

COMMUNICATION

BOX PCT
Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231
ATTENTION: IPEA/US
Sir:

Enclosed with this Communication are the following items to place the above-identified application in compliance with the rules and regulations:

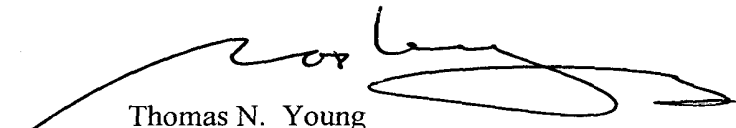
1. Express Mail Transmittal Letter and postcard;
2. Demand for Examination under PCT Chapter II;
3. Cover Sheet of the Published International Application;
4. Preliminary Amendment; and
5. Check in the amount of \$513.00.

Entry of these documents in this application is respectfully requested.

Please charge any deficiency or credit any excess in the enclosed fees to Deposit Account No. 25-0115.

Respectfully submitted,

YOUNG & BASILE, P.C.


Thomas N. Young
Attorney for Applicant(s)
Registration No. 20,985
(248) 649-3333

3001 West Big Beaver Rd., Suite 624
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Dated: September 28, 2001

09/937756

410 Rec'd PCT/PTO 28 SEP 2001

LAW OFFICES

YOUNG & BASILE, P.C.

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PATENTS, TRADEMARKS AND COPYRIGHTS

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September 28, 2001

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Attorney's Docket: HLK-102-A
Box PCT
Assistant Commissioner of Patents
and Trademarks
Washington, D.C. 20231
ATTENTION: IPEA/US

EL889236713US

Sir:

Enclosed please find an application for U.S. Patent as identified below.

Inventor: Adrien Robert Leigh Travis et al

Invention: WIDE-FIELD-OF-VIEW PROJECTION DISPLAY

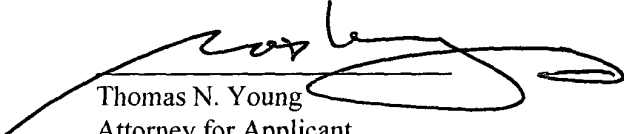
and including: Postcard; Communication; Demand for Examination Under PCT Chapter II; Cover Sheet of the International Application Published Under the Patent cooperation Treaty; Preliminary Amendment and check.

Filing Fee: \$ 513.00

Please charge any deficiency or credit any excess in the enclosed fees to Deposit Account No. 25-0115.

☒ Applicant(s), and the Assignee (if applicable), hereby assert a claim to small entity status under 37 CFR 1.9 et. seq.

☒ If checked, Applicant will not be filing foreign applications on the invention in countries that publish on an 18-month date, the Applicant requests that the application not be published.


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